

IN THE UNITED STATES RECEIVING OFFICE

Applicant : Tatsuhiko Kodama et al.
Serial No. : 10/594,690
Filed : September 28, 2006
Title : NONHUMAN ANIMALS FOR ANTIBODY PRODUCTION, AND METHODS
AND SYSTEMS FOR PRODUCING ANTIBODIES USING SUCH ANIMALS

MAIL STOP PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

In response to the Notification of Missing Requirements mailed April 27, 2007 (copy enclosed), Applicants as a large entity submit herewith the following:

- ☒ Payment of the surcharge of \$130 for late filing of the declaration; and
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §§1.63 and 1.497.

The Notification of Missing Requirements requests a Sequence Listing under 37 CFR §1.821(c). However, Applicants previously submitted a paper copy and a computer-readable copy of the Sequence Listing with the application on September 28, 2006. Indeed, the Notification of Missing Requirements acknowledges receipt of a "Biochemical Sequence Diskette filed on 09/28/2006" (see page 1 of the Notification). Enclosed are copies of the Preliminary Amendment (which requests entry of the Sequence Listing into the application), the Statement Under 37 CFR §1.821(f) (which states that the content of the paper and computer-readable copy is the same and contains no new matter), and the paper copy of the Sequence Listing, all filed on September 28, 2006. Applicants request clarification or withdrawal of the request for submission of a Sequence Listing.

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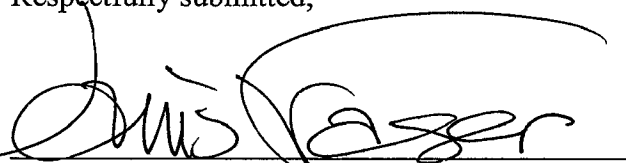
Attorney's Docket No.: 14875-167US1 / C1-A0326P-US

It is understood that this perfects the application and no additional papers or filing fees are required. The surcharge in the amount of \$130 is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply all charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 14875-167US1.

Respectfully submitted,

Date:

June 26, 2007

A handwritten signature in black ink, appearing to read "Janis K. Fraser", written over a horizontal line.

Janis K. Fraser, Ph.D., J.D.
Reg. No. 34,819

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UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/594,690	Tatsuhiko Kodama	14875-161US1 CI-A0326P-US

INTERNATIONAL APPLICATION NO.

PCT/JP05/06298

I.A. FILING DATE	PRIORITY DATE
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03/31/2005

03/31/2004

26161
 FISH & RICHARDSON PC
 P.O. BOX 1022
 MINNEAPOLIS, MN 55440-1022

CONFIRMATION NO. 9489

371 FORMALITIES LETTER



OC000000023582374

Date Mailed: 04/27/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/28/2006
- English Translation of the IA filed on 09/28/2006
- Copy of the International Search Report filed on 09/28/2006
- Preliminary Amendments filed on 09/28/2006
- Biochemical Sequence Diskette filed on 09/28/2006
- U.S. Basic National Fees filed on 09/28/2006
- Substitute Specification filed on 09/28/2006
- Priority Documents filed on 09/28/2006
- Specification filed on 09/28/2006
- Claims filed on 09/28/2006
- Drawings filed on 09/28/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130 Surcharge.**

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", **as well as an amendment specifically directing its entry into the application** and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patent Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/594,690	PCT/JP05/06298	14875-161US1 C1-A0326P-US